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Assistant Commissioner for Patents Washington, D.C. 20231

*Receipt*  
**PATENT**  
Attorney Docket No.: 18062G002010

on 6-4-01

By: Linda Shaffer



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Jonathan A. Ellman, *et al.*

Application No.: 09/534,706

Filed: March 23, 2000

For: METHODS FOR TREATING  
NEURODEGENERATIVE DISORDERS  
USING ASPARTYL PROTEASE  
INHIBITORS

Examiner: T. McKenzie

Art Unit: 1624

SECOND REQUEST FOR CORRECTED  
FILING RECEIPT

**RECEIVED**  
SEP 06 2001  
TECH CENTER 1600/2900

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a copy of the REQUEST FOR CORRECTED FILING RECEIPT mailed to the Patent and Trademark Office on August 24, 2000, along with a copy of the PTO-stamped postcard showing receipt.

Also attached is a copy of the most recent filing receipt from the Patent and Trademark Office for which issuance of a corrected filing receipt is respectfully requested. Please note that the priority data is still in error.

The correction is not due to any error by applicants, and no fee is due.

Respectfully submitted,

*Eugenia Garrett Wackowski*  
Eugenia Garrett Wackowski  
Reg. No. 37,330

TOWNSEND and TOWNSEND and CREW LLP  
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EGW/lls  
SF 1231409 v1



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY #6

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 2595

<b>SERIAL NUMBER</b> 09/534,706	<b>FILING DATE</b> 03/24/2000 <b>RULE</b>	<b>CLASS</b> 514	<b>GROUP ART UNIT</b> 1624	<b>ATTORNEY DOCKET NO.</b> 18062G002010	
<b>APPLICANTS</b> Jonathan A. Ellman, Oakland, CA; Gary Lynch, Irvine, CA; Irwin D. Kuntz, Greenbrae, CA; Xiaoning Bi, Irvine, CA; Christina E. Lee, San Francisco, CA; A. Geoffrey Skillman, San Francisco, CA; Tasir Haque, El Cerrito, CA;					
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CIP OF 09/018,226 02/03/1998 PAT 6,150,416 WHICH CLAIMS BENEFIT OF 60/036,903 02/04/1997 AND CLAIMS BENEFIT OF 60/125,958 03/24/1999					
<b>** FOREIGN APPLICATIONS *****</b> <i>None</i>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b> <b>** 05/23/2000</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged <i>[Signature]</i> Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 22	<b>TOTAL CLAIMS</b> 50	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> 20350					
<b>TITLE</b> Methods for treating neurodegenerative disorders using aspartyl protease inhibitors					
<b>FILING FEE RECEIVED</b> 680	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		



SEP 07 2000

PATENT APPLICATION  
FILING ACKNOWLEDGMENT

Mailing Date: 8/24/00  
File No.: 18062G-002010 Attorney: EGW/lls  
Serial No. 09/534,706  
Inventor(s): Jonathan A. Ellman, et al.  
Title: METHODS FOR TREATING NEURODEGENERATIVE  
DISORDERS USING ASPARTYL PROTEASE INHIBITORS  
Due Date: 8/24/00

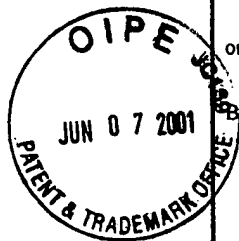


RESPONSE TO NOTICE OF MISSING PARTS w/Petition to extend time; Executed declaration (5 copies); Power of attorney by assignee; Certificate under 37 CFR 3.73(b) w/copy of Assignment; Verified statement claiming small entity status of The Regents of the University of California; Verified statement claiming small entity status of Thuris Corporation; Preliminary amendment; Request for corrected filing receipt; Copy of notice of missing parts

Please stamp the date of receipt of the enclosed documents and return this card to addressee

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PATENT  
Attorney Docket No.: 18062G002010



on 8-24-00  
By: Linda Shaffer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan A. Ellman, *et al.*

Application No.: 09/534,706

Filed: March 23, 2000

For: METHODS FOR TREATING  
NEURODEGENERATIVE DISORDERS  
USING ASPARTYL PROTEASE  
INHIBITORS

Examiner: Not yet assigned

Art Unit: 1611

REQUEST FOR CORRECTED FILING  
RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

RECEIVED  
SEP 06 2001  
TECH CENTER 1600/2900

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that some of the continuing data is missing and should read as follows:

**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A CIP OF 60/125,958 03/24/99

WHICH CLAIMS BENEFIT OF 09/018,226 02/03/98

AND CLAIMS BENEFIT OF 60/036,903 02/04/97



The correction is not due to any error by applicants, and no fee is due.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Joseph R. Snyder".

Joseph R. Snyder  
Reg. No. 39,381

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EGW/lis

SF 1121321 v1

## FILING RECEIPT



\*OC00000005136491\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

EGW

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/534,706	03/24/2000	1611	0	18062G002010	22	50	3

20350

TOWNSEND AND TOWNSEND AND CREW LLP  
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SAN FRANCISCO, CA 94111

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Date Mailed: 05/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jonathan A. Ellman, Oakland, CA ;  
Gary Lynch, Irvine, CA ;  
Irwin D. Kuntz, Greenbrae, CA ;  
Xiaoning Bi, Irvine, CA ;  
Christina E. Lee, San Francisco, CA ;  
A. Geoffrey Skillman, San Francisco, CA ;  
Tasir Haque, El Cerrito, CA ;

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3 CHEN

## Continuing Data as Claimed by Applicant

60/125,958 03/24/99  
THIS APPLICATION IS A CIP OF 09/018,226-02/03/1998-  
WHICH CLAIMS BENEFIT OF 60/036,903-02/04/1997- 09/018,226 02/23/98  
AND CLAIMS BENEFIT OF 60/036,903 02/04/97

## Foreign Applications

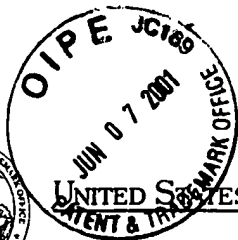
If Required, Foreign Filing License Granted 05/23/2000

## Title

Methods for treating neurodegenerative disorders using aspartyl protease inhibitors

## Preliminary Class

544



UNITED STATES PATENT AND TRADEMARK OFFICE

180626-002010US  
E.G. WackowskiCOMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/534,706	03/24/2000	1611	680	18062G002010	22	50	3

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EIGHTH FLOOR  
SAN FRANCISCO, CA 94111

## FILING RECEIPT



\*OC000000005391215\*

Date Mailed: 09/12/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jonathan A. Ellman, Oakland, CA ;  
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Xiaoning Bi, Irvine, CA ;  
Christina E. Lee, San Francisco, CA ;  
A. Geoffrey Skillman, San Francisco, CA ;  
Tasir Haque, El Cerrito, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/018,226 02/03/1998  
WHICH CLAIMS BENEFIT OF 60/036,903 02/04/1997

## Foreign Applications

If Required, Foreign Filing License Granted 05/23/2000

\*\* SMALL ENTITY \*\*

## Title

Methods for treating neurodegenerative disorders using aspartyl protease inhibitors

## Preliminary Class

544

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TOWNSEND & TOWNSEND  
& CREW  
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Data entry by : TUTT, TONDENICA

Team : OIPE

Date: 09/12/2000





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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Customer Service Center  
Washington, DC 20231